Interference To The Installed User Base Of L-Band Space Services From The Proposed Reallocation Of The MSS L-Band To Primary Terrestrial Services With Ancillary MSS

Presentation to
Edward P. Lazarus, Chief of Staff
Rick Kaplan, Chief Counsel and Senior Legal Advisor
Office of Chairman Genachowski
Federal Communications Commission

By
The United States Global Positioning System (GPS) Industry Council

On
January 21, 2011
Overview

• Rationale for allocation of the L-band to space services
  – Introducing Ancillary Terrestrial Component (ATC) in the L-band
• Coordination process and operating conditions proposed for the first ATC license in 2002
  – Protection criteria for GPS based on out-of-band emission (OOBE) limits
• Proposing a reallocation of L-band from MSS to a primary terrestrial service
  – Introduces a different interference problem for the installed GNSS user base
  – Needs additional mitigation measures to be taken—beyond OOBE
• Unanimous agreement that the treat of harmful interference to GPS is real and needs to be studied.
• Possible mitigation techniques
• Overview of the installed GPS user base; maximum allowed power at a GNSS receiver
  – Three decades of user-driven GPS innovation have resulted in a pervasive public and private sector dependency on GPS position, navigation, and timing (PNT) information
• Threshold question of compatibility between a new high-power terrestrial broadband service and existing MSS services in the MSS L-band and adjacent RNSS services in the L-band
  – Resolving this threshold question is the FCC’s obligation
  – Any waiver grant is premature until a determination that the terrestrial service can co-exist with same- and adjacent-band low-power satellite services
• The potential harm to the installed GPS user base, industries and infrastructure from harmful interference caused by co-primary terrestrial broadband transmitters in the MSS L-band outweighs any cognizable public interest benefit LightSquared may claim from operations prior to resolution of the threshold compatibility question
  – Given the clear direction of initial technical work, the FCC should not put itself into a position where it is susceptible to pressure from LightSquared not to terminate operations LightSquared would have undertaken at its own risk
• Recommendations
Rationale For Allocation Of The L-band To Space Services

• Distinctive physical properties of the L-band include a low loss characteristic through the atmosphere that makes it uniquely suited to space to ground communications

• These physical properties are uniquely suited to the intended functions of:
  – Mobile-satellite services (MSS) in 1525-1559 MHz
  – Radionavigation Satellite Services (RNSS) in 1559-1610 MHz

• MSS and RNSS operate in adjacent bands where the spectrum has been allocated to space services for several decades:
  – Without any terrestrial transmissions

• As long as these adjacent bands remain allocated to truly satellite service operations as the primary service:
  – Their spectrum use (noise floor management) and power levels could be moderated to avoid interference between satellite services
  – Allowing operational “harmony” between a space-based communication service (MSS) and a broadcast, receive-only service (RNSS)
Introducing Ancillary Terrestrial Component (ATC) In The L-band

- Terrestrial and satellite operations have different physical and geometric characteristics
  - Makes it very difficult for the two to co-exist without the terrestrial transmissions interfering with the satellite transmissions

- When MSS operators added ATC to complement and augment their space-service in 2002, this augmentation created the potential for significant new interference to adjacent space services operating in the L-band for:
  - MSS operations
  - RNSS operations especially for adjacent GPS operations using the L1 (1559-1610 MHz)
    - The GPS L1 is bracketed by MSS operations (1525-1559 MHz and 1626.50 -1660.50 MHz)
    - This bracketing raises the GPS noise floor resulting from MSS operations on both sides of GPs L1

- According to FCC rules, ATC is a secondary allocation in the L-band allocated to MSS on a primary basis and is required to operate:
  - To not cause interference
  - To accept interference

- As adopted, FCC MSS/ATC rules took great care to ensure that ATC providers remain bona fide satellite service providers by requiring:
  - MSS/ATC operators to maintain a ground spare satellite
  - By definition that an MSS/ATC licensee offer an integrated service that requires including MSS in the offering to the customer
The First ATC License In 2002
Coordination Process And Operating Conditions As Proposed

- Mobile Satellite Ventures (MSV), the operator of MSS/ATC in the L-band, began coordination of its ATC license with the Interdepartment Radio Advisory Committee (IRAC) and the National Telecommunications Information Administration (NTIA)
  - MSV originally proposed a single protection limit (-70 dBW/MHz) for GPS operations in the adjacent L1 band
  - NTIA and the IRAC members encouraged MSV to confer with members of the GPS industry on protection of GPS
  - MSV was the single operator of both the proposed MSS/ATC operations in the L-band
  - ATC operations were to be deployed as a gap-filler to augment and extend MSS coverage in areas such as urban canyons
  - MSV planned for operation of dual-mode handsets exclusively
  - As a practical matter, in making this commitment to exclusive dual-mode handset use, MSV had a particular interest not to overwhelm the satellite channels when close to an ATC base station
  - None of these considerations speculated on the operation of a primary mobile terrestrial broadband communication service
Protection Criteria for GPS
Out-of-Band Emission (OOBE) Limits

• In recognition of the increased potential for interference to adjacent space services, when ATC was introduced in the MSS bands, MSV and the U.S. GPS Industry Council negotiated an agreement on out-of-band emission (OOBE) limits to protect GPS operations in the L1 band:
  – Mobile terrestrial stations must limit their equivalent isotropically radiated power (EIRP) to
  – -95 dBW/MHz for wideband emissions; while narrowband emissions are subject to a limit of -105 dBW/kHz
  – Fixed or mobile base stations must adhere to a wideband EIRP density emission limit of -100 dBW/MHz; and a narrowband emission limit of -110 dBW/kHz

• Subsequently, MSV’s corporate successor, SkyTerra, approached the Council concerning its proposal to introduce ATC femtocells for indoor operations and the original joint agreement was modified for greater OOBE protection for indoor GPS use:
  – Femtocells operating indoors were agreed to limit EIRP density in the GPS band of -111.7 dBW/MHz for one operating; and -144.7 dBW/MHz when two femtocells are in the same room

In each case, the underlying premise of these agreements is that the L-band operator of MSS/ATC (first MSV, then SkyTerra) agreed to protect GPS transmissions in the adjacent RNSS L-band.
Proposing A Reallocation Of L-band From MSS To A Co-Primary Terrestrial Service

• Application request for modification of its authority for Ancillary Terrestrial Component (FCC File No. SAT-MOD-20101118-00239) seeks to effectively reinterpret its ATC rules to:
  – Operate a co-primary terrestrial wireless service in urban areas:
    • By deploying a densely populated network of strong signal transmitters whose emissions would effectively blanket entire urban areas
  – While conducting its MSS operations outside of areas where its proposed terrestrial service would operate
  – Thus, this application proposes to provide a primarily terrestrial wireless service with ancillary MSS, which is the opposite of the original premise of the service embodied in the current rules and its L-band license
  – Instead of offering an integrated MSS/ATC handset exclusively as required in its existing ATC license, it proposes an integrated MSS/ATC service for which its retailers could choose to offer terrestrial handsets only to end-users
• The Applicant “estimates that the capacity of its fully deployed terrestrial network across all base stations will be tens of thousands of times the capacity of either of the Sky Terra satellites:”
  – Consequently, the physics and dynamics of this newly proposed terrestrial service would radically change and degrade the environment in which the adjacent GPS L1 signal operates; the ultimate effect would be a loss of GPS service
• In comments filed in FCC ET Docket No. 10-142 (page 12, para. 1), LightSquared specifically requests the “Commission could, however, make it substantially easier to implement ATC domestically in the future by expanding the definition of MSS in its rules to include ATC and thus rendering ATC a primary service.”
Introduces A Different Interference Problem For The Installed GNSS User Base In The RNSS L-band

- Broadcast satellite signals are very low power at the Earth’s surface.
- Reallocation of the MSS L-band from a primary space-based service to a primary terrestrial service introduces a fundamental, difficult, interference problem at the GPS receiver because its ability to filter strong signals transmitting in nearby bands, while trying to listen to weak signals, is limited.
- Depending on the interference source, the effect on GPS receivers’ performance can result in desensitization, which prevents the receiver from functioning properly, and thus constitutes harmful interference.
Additional Mitigation Measures
Need To Be Taken Beyond OOBE

• When ATC was first authorized, the OOBE limits were negotiated to protect GPS
• These limits were established with the understanding that the business and operations plan for ATC was strictly as an infill service for where the MSS satellite signal did not reach
  – With this understanding, GPS got an additional measure of protection because areas not served by MSS satellite signals were highly limited in scope, and GPS protection would be partly achieved by the interest of MSS operators in protecting the integrity of their own satellite signals
  – With the reallocation of the MSS L-band from a space-based service, to a primary terrestrial broadcast, this protection and the incentive for it, disappears
• Thus, additional mitigation measures will need to be taken beyond the established OOBE limits in the existing ATC authorizations
Possible Mitigation Techniques

• Possible techniques to mitigate harmful interference to RNSS from the introduction of widely-deployed terrestrial transmitter on a primary basis:

1. Introduce new terrestrial broadband transmitters as far from mobile satellite applications as possible, especially from the RNSS L-1 band at 1559-1610 MHz:
   – Migrate the satellite services closer together and allocate terrestrial services at the edge of the satellite grouping as the bands get cleared
   – The objective of this approach is to keep the two types of distinctly different (space-based versus terrestrial) services separate and have an acceptable amount of margin around the edge of all satellite services to protect their fundamental operations and utility to long-established installed user base of the adjacent L-band RNSS services and devices

2. Establish a power limit for the newly-proposed terrestrial transmitters based on their frequency proximity to the satellite bands (in particular to the broadcast RNSS bands allocated to GPS/GNSS operations):
   – Terrestrial transmitters close in frequency to the GPS band would have to be limited to less than the current limit of 31.9 dBW in proportion to their proximity to the GPS band

3. Establish a power limit for the newly-proposed terrestrial transmitters in the MSS band based on the density of installations
   – While this approach does not eliminate the potential effect of new terrestrial transmitters overcoming GPS receiver selectivity, it does reduce the probability of this occurring
The Installed GNSS User Base

• For purposes of this technical discussion, we developed an overview of today’s existing installed GPS user base who will be potentially adversely affected by the proposed reallocation of the MSS L-band to primary terrestrial wireless use. This technical input was developed based on analysis and test data for installed GNSS receivers.

• This overview represents a composite of receivers that serve a wide variety of markets: E911; police, fire, paramedic response; consumer applications; precision construction; structural deformation monitoring; machine control; survey; mapping; geographic information systems (GIS), including MSS-delivered correction services.

• Receiver sensitivity to signals across the L-band is shown with respect to the receiver antenna. Proposed co-primary terrestrial wireless service signals transmitting above the level shown on the graph, may, depending on the receiver type, jam the receiver. This graph can be used to establish a sphere of jamming from a terrestrial transmitter of a specific frequency and power.

• This overview, produced on short notice, serves to illustrate the extent of the problem. A thorough technical study of the effect on GPS receivers in the public and private sector from the newly proposed terrestrial L-band transmissions is required for definitive decision-making.
Maximum Allowed Power At A GNSS Receiver (Mask)
Technical Discussions With LightSquared

To date, the Council has had two technical discussions with LightSquared, including:

• To facilitate an understanding of the different technical problem at the GPS receiver created by the proposed terrestrial wireless service, the Council developed a technical overview of the existing installed user base of GPS that shows the:
  – Potentially adverse effect at the composite GNSS receiver
  – Additional technical mitigation that would be needed to ensure that this existing installed user base continues to receive the GPS signals

• LightSquared provided technical input on the proposed operating conditions (our discussions have not been conducted under a non-disclosure agreement)
Unanimous Recognition
Of The Potential For Harmful Interference

There is unanimous recognition that the proposed introduction of terrestrial broadband operations in the MSS L-band has the potential to cause harmful interference to adjacent RNSS L-band GPS operations.

• Since LightSquared’s proposal for co-primary terrestrial use of the L-band MSS spectrum first emerged on November 19, 2010, not one entity, including LightSquared, denies that the threat of harmful interference to GPS is real.
  – Since January 1, 2011, the list of industry and government parties expressing their concerns in this proceeding is widespread and growing.
    • National Business Aviation Association; Diamond Aircraft Industries; the American Congress on Surveying and Mapping; Air Transport Association; General Aviation Business Manufacturers Association; Aircraft Owners and Pilots Association, United States GPS Industry Council, etc.
    • In January 12, 2011 letter, the National Telecommunications and Information Administration informed the FCC that the LightSquared proposal “would create a new interference environment” and “raises significant interference concerns that warrant full evaluation . . . to ensure that LightSquared services do not adversely impact Global Positioning System (GPS) and Global Navigation Satellite System (GNSS) receivers.”
    • NTIA further cites that “Several Federal agencies with vital concerns about this spectrum band, including the Departments of Defense, Transportation and Homeland Security, have informed NTIA that they believe the FCC should defer action on the LightSquared waiver until these interference concerns are satisfactorily addressed.”
  – LightSquared’s January 6, 2011 letter to NTIA offering to participate in testing does not dispute that there is the potential for LightSquared based stations to overload and adversely affect the performance of certain GPS devices.
Three Decades Of Expanding GPS Use

- A brief review of the evolution of GPS and its growing ubiquity can aid the understanding of practical and effective technical solutions to ensure that mobile terrestrial services are able to serve the many customers, who in all likelihood, already depend on GPS:

1978 - First GPS satellite launched
1981 - First civilian GPS product introduced for survey use by a Federal Agency
1984 - GPS products introduced for timing infrastructure and commercial survey
1989 - Mobile GPS handheld introduced for consumer use
1990's (early) - Dual-frequency GPS products introduced for scientific and commercial use in dynamic, high precision applications requiring a centimeter or better accuracy in real-time
1995 - GPS system declared Full Operational Capability (FOC)
1996 - Presidential Decision Directive (PDD) announced: “GPS provides substantial military advantage and is now being integrated into virtually every facet of our military operations [and] GPS is also rapidly becoming an integral component of the emerging Global Information Infrastructure, with applications ranging from mapping and surveying to international air traffic management and global change research.”

Late 1990s - Commercial high precision GPS networks in urban and rural areas:
  - Provide to multiple, diverse range of end-users the capability to leverage the utility of positioning, navigation, and timing (PNT) information to increase operational productivity
Three Decades Of Expanding GPS Use

(continued)

• 2000 – The United States recognized the increasing importance of GPS to civil and commercial users by ending the deliberate degradation of accuracy for non-military signals, known as Selective Availability
  – Since this time, commercial and civil GPS applications have continued to multiply and their importance in critical infrastructures has increased significantly.

• 2004 – President’s Positioning, Navigation, Timing Policy declared that “services dependent on Global Positioning System information are now an engine for economic growth enhancing economic development and improving safety-of-life, and the system is a key component of multiple sectors of U.S. critical infrastructure,”
  – “Over the past decade, the Global Positioning System has grown into a global utility whose multi-use services are integral to U.S. national security, economic growth, transportation safety, and homeland security and are an essential element of the worldwide economic infrastructure.”

• 2006 – GPS-enabled cellphones were introduced, including for E911 use

• 2008 – GPS-enabled mobile social networking applications introduced (e.g., Foursquare; Facebook, etc.)

Increasing small, medium, and large companies, having operations that depend on the availability of the GPS signals, are driving complete “site integration” of the PNT information available from these space-based RNSS signals.
FCC ET Docket No. 10-142
Proposed The Standard Regulatory Approach
For A Change In Spectrum Use (2 GHz)

The FCC’s proceeding on MSS Broadband has two distinct parts:

1. The first part is a Notice of Proposed Rule-making (NPRM):
   - Proposes to allow use of secondary market leasing rules that already apply to terrestrial mobile systems in the context of MSS/ATC in the L-band, Big LEO, and 2 GHz MSS spectrum;
   - Other provisions are as proposed, but at its core this NPRM proposes a “relaxation” of the MSS/ATC rules to promote use of this spectrum for broadband applications;
   - Nevertheless, the NPRM is clear that the existing MSS/ATC rules will continue to govern the service, specifically noting the continued application of the OOBE in the authorizations of each of the licensed MSS/ATC systems.

2. The second part is a Notice of Inquiry (NOI):
   - The FCC invites comments on a potential later NPRM to consider allowing co-primary terrestrial use of only the 2 GHz MSS spectrum;
   - The significance of this NOI is that the FCC considers it premature to have an NPRM on the subject of the reallocation of the 2 GHz MSS spectrum to terrestrial use.

The issues raised by the FCC in this NOI are precisely the types of issues that need to be addressed when contemplating a change in use of spectrum, particularly when adding a co-allocation of a terrestrial use to space-based spectrum use.
But, The Standard Regulatory Approach Is Not Proposed For The Same Change In Spectrum Use In The L-Band (As 2 GHz)

• However, this is not how the FCC is proceeding in contemplating the proposed waiver of the MSS/ATC L-band applicant’s existing ATC authorization to effectively allow co-primary terrestrial use of the L-band allocated to primary MSS use:

  – What the FCC considered too premature for the MSS Broadband NPRM (reallocation) (ET Docket No. 10-142), is now being proposed in the L2 waiver (FCC File No. SAT-MOD-20101118-000239) without first seeking public comments first in an NOI followed by an NPRM.

• Thus, the FCC is now proposing an effective co-primary allocation to terrestrial use in spectrum allocated to a primary space service (MSS) which is not only without precedent, but also not following the FCC’s regulatory approach that the Commission has set out in its own companion proceeding (ET Docket No. 10-142).
Two Critical Questions

• If LightSquared already has authority to provide terrestrial service under its MSS/ATC license, why is the waiver needed?

• If a waiver is needed to provide the service they are proposing, why is the Commission not treating it for what it really is – a reallocation of spectrum – and using the same process as under the NOI in the MSS Broadband proceedings (ET Docket No. 10-142)?
The March 2010 Orders Do Not Address The Current LightSquared Proposal To Introduce A Co-Primary Terrestrial Wireless Broadband Service In The MSS L-Band

• A review of the March 2010 orders confirm:
  – A national broadband network of MSS/Ancillary Terrestrial Component
  – No discussion of the currently proposed reallocation of MSS spectrum to co-primary terrestrial wireless broadband service in the MSS L-band

• The November 18, 2010 waiver request for a co-primary terrestrial broadband service in the MSS L-band further confirms that this proposed spectrum reallocation was NOT addressed in the March 2010 orders

• Further, if this co-primary terrestrial wireless broadband service was decided in March 2010, this waiver request would not be needed to be authorized
Threshold Question
The FCC Must Answer Before The Grant Of A Waiver

The FCC must determine, as a threshold question, whether co-primary terrestrial services can be operated in the MSS L-band adjacent to the RNSS L-band without causing harmful interference to GPS operations before it permits the introduction of co-primary terrestrial operations in the adjacent MSS L-band:

• When a threshold question exists as to the compatibility of two services, the FCC has the obligation to successfully resolve the compatibility question before allowing any new service to risk causing harmful interference to authorized users of the bands in question (including both the service band and adjacent or impacted bands)

• If the FCC were to permit or even conditionally authorize LightSquared to conduct operations in advance of a successful resolution to the threshold compatibility question, the FCC abdicates its responsibility in a way that:
  – undermines confidence in the FCC’s spectrum allocation scheme and rulemaking processes
    o creates a precedent for the reallocation of spectrum use on a fast-track waiver
  – creates massive and untenable regulatory and investment uncertainty for both
    o mobile broadband
      ▪ the extent of the mitigation measures needed to protect GPS operations are unknown
    o GPS and the industrial and civil infrastructures of this country

• An analysis using public and private sector operating scenarios, conducted under the auspices of NTIA with participation from industry and government technical experts, could enable a determination of the potential for interference to be made within 90 days. Deferring action on LightSquared’s waiver proposal until the analysis is complete and subject to public review and comment is the only course that satisfies the FCC’s statutory mandate
The U.S. GPS Industry Council Supports
An Independent Testing Program, On An Accelerated Time Table,
To Resolve The Threshold Compatibility Question

- On January 7, 2011, the U.S. GPS Industry Council has proposed to the FCC the following testing program to allow the FCC to successfully resolve the compatibility question before allowing any new service to risk causing harmful interference to authorized users of the bands in question (including both the service band and adjacent or impacted bands):

1. Based on the 2002 precedent established by NTIA/IRAC resulting in the joint industry agreement to protect GPS, NTIA/IRAC to be asked to conduct an analysis including industry and government technical experts to examine the potential for interference using public and private sector operating scenarios within a reasonable timeframe (not to exceed 90 days).

2. In consultation with all affected parties, including technical experts from the US GPS Industry Council member companies and LightSquared, participate in a review of NTIA/IRAC methodology and work program, including contributing technical analysis and ensuring that the operational use scenarios are realistic and well represented.

3. Non-proprietary data on receiver sensitivity to overloading will be made available from several of the US GPS Industry Council member companies. Test conditions under which the data were taken will be stated with the data.

4. The analysis process should include appropriate means for public input and comment.

5. Final action on the LightSquared waiver application will be deferred until the NTIA analysis is completed and transmitted to the Commission.

- Approval of this program, with NTIA/IRAC at the helm, will allow rapid and independent determination of the capability of a non-ancillary terrestrial broadband service to be established in the MSS L-band compatibly with GPS – without any regulatory uncertainty.
Recommendations

To avoid creating a setback for the Nation’s broadband agenda and potential harm to the national GPS utility:

1. Do not introduce regulatory and investment uncertainty for both mobile terrestrial broadband operations and GPS operations in the L-band, including the adverse impact on the United States economy from the potential loss of GPS signal reception by granting the waiver request, including conditionally, before conducting interference testing.

2. Consider the application for modification of the MSS L-band ATC license (FCC File No. SAT-MOD-20101118-00239) under the FCC NPRM/NOI on broadband (FCC ET Docket No. 10-142) to allow adequate development of the public record and robust public comment, especially to fully understand the potential for harmful interference to adjacent MSS and RNSS services in the L-band.

3. Ensure that a comprehensive technical analysis of the potential for harmful interference is undertaken by the appropriate representatives of the United States Government (USG) having technical expertise, including specialized technical expertise, relevant to the broad range of operational scenarios in the RNSS L-band today; such as: FCC OET; NTIA; IRAC members.

4. Establish a process for independent analysis by an NTIA/IRAC-led group of the question whether co-primary terrestrial broadband services can be offered on a co-coverage basis in the MSS L-band frequencies compatibly with GPS and other low-power satellite services that operate in the same or nearby frequency bands.

5. Ensure that all appropriate mitigation are identified and enabled prior to authorizing terrestrial service in the L-band.